

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Y. KANIE et al

Serial No.

Filed: January 18, 2001

For: METHOD AND SYSTEM FOR MANAGING DOCUMENTS

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER § 1.97 AND § 1.98 AND CONDITIONAL PETITION REQUESTING CONSIDERATION OF THE IDS

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

- 1. This IDS should be considered:
- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$240.00 (a check in the amount of \$240.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is

a fee of \$130.00 (a check in the amount of \$130.00 to cover the petition fee, or if not see section 5 below).

- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed.
- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the documents because each is discussed in the present Specification.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

John R. Mattingly // Registration No. 30,293

Attorney for Applicants

MATTINGLY, STANGER & MALUR 104 East Hume Avenue Alexandria, Virginia 22301 (703) 684-1120

Date: January 18, 2001

(REV. 7-80) PATENT A			PATENT AND	TMENT OF COMMERCE ATTY. DOCKET NO. D TRADEMARK OFFICE NIT-249 APPLICANT		SERIA	SERIAL NO.			
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)					Y. KANIE et al FILING DATE GROUP					
					01/18/01					
				U.S. PATE	ENT DOCUMENTS					
* EXAMINER INITIAL	1	DOCUMENT DATE			NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)		
	AA			 						
	AB									
	AC AD						-			
	AE							ļ <u>.</u>		
	AF		1							
	AG					-				
	АН					-				
	Al		-							
	AJ									
	AK									
				FOREIGN PA	TENT DOCUMENTS					
		DOCUMENT	DATE	COUNTRY		CLASS	SUBCLASS	TRANS YES	LATION NO	
	AL	9-319632	12/12/97	· - · · · · · · · · · · · · · · · · · ·	English Abstract) English Abstract)					
	АМ	8-329079	12/13/96							
	AN									
	AO			<u> </u>						
	AP									
:			OTHER DOCU	MENTS (Including	Author, Title, Date, Pertinent	Pages, etc.)				
	AR									
				<u> </u>						
	AS				•					
	АТ									
EXAMINER					DATE CONSIDERED					
						·				
* EXAMINE next communic	R: Initia	al if reference consider applicant.	ed, whether or not citati-	on is in conformance with M	IPEP 609; Draw line through citation if not in	conformance and no	t considered. Include	e copy of thi	is form with	

Sheet 1 of 1

(REV. 7-80) PAT			PATENT AN	TMENT OF COMMERC	NIT-249 APPLICANT		SERIAL NO.		13 TO	
LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)					Y. KANIE et al FILING DATE GROUP 01/18/01				<u>8</u> 8	2 5
								5/2		
				U.S. PATEN	TOCUMENTS				60	017
* EXAMINER INITIAL		DOCUMENT	DATE		NAME	CL	ASS	SUBCLASS		G DATE propriate)
	AA									
	АВ									
	AC									
	AD									
	AE									
	AF									
	AG									
	АН									
	AI									
	AJ									
	AK									
				FOREIGN PATE	ENT DOCUMENTS					
		DOCUMENT	DATE		COUNTRY	CLA	ASS	SUBCLASS	TRANS YES	NO NO
	AL	9-319632	12/12/97	Japan (with E	nglish Abstract)	11/2				
	АМ	8-329079	12/13/96	Japan (with E	nglish Abstract)					
	AN		ļ					_ 		
	AO									
	AP					<u> </u>				
			OTHER DOCU	MENTS (Including Au	ıthor, Title, Date, Pertinent	Pages, e	etc.)			
	AR									
	,					•				
	AS									
							_			
	ΑT									
	14									
EXAMINER					DATE CONSIDERED					
* EXAMINER	R: Initia	al if reference consider applicant.	red, whether or not citat	ion is in conformance with MPE	609; Draw line through citation if not in	conformance	e and not c	onsidered. Includ	e copy of th	is form with